THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kloba et al.

Appl. No. 09/705,927

Filed: November 6, 2000

For:

System, Method, and Computer Program Product for a Scalable, Configurable, Client/Server, Cross-Platform Browser for

Mobile Devices

Confirmation No. 8134

Art Unit: 2154

Examiner: Zarni Maung

Atty. Docket: 1933.0010009

Information Disclosure Statement

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application

does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R.
 § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R.
 § 1.114. No statement or fee is required.
- □ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
 - □ a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
 - □ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
 - □ c. Attached is our Check No. _____ in the amount of \$ ____ in payment of the fee under 37 C.F.R. § 1.17(p).
- □ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice

of Allowance,	but before payment of t	he Issue Fee. Enclosed find our Check
No	in the amount of \$ _	in payment of the fee under 37
C.F.R. § 1.17(p); in addition:	
I hereby state	that each item of infor	mation contained in this Information

- □ a. I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

 37 C.F.R. § 1.97(e)(1).
- □ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- □ 4. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- ☐ 5. A concise explanation of the relevance of the non-English language document(s) appears below:
- □ 6. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. _______, filed ______, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Crystal D. Sayles

Crystal D. Sayles

Attorney for Applicants Registration No. 44,318

Date: 9/19/01

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				v.s.	PATENT DOCUMENTS			451
XAMINER NITIAL		DOCU NUMB	MENT SER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA1	5,34	9,678	09/1994	Morris et al.	395	800	
	AB1	5,37	7,326	12/1994	Murata et al.	395	200	
	AC1	5,41	.0,543	04/1995	Seitz et al.	370	85.13	
	AD1	5,42	6,594	06/1995	Wright et al.	364	514 R	
	AE1	5,67	3,322	09/1997	Pepe et al.	380	49	
	AF1	5,68	4,828	11/1997	Bolan et al.	375	238	
	AG1	5,68	4,990	11/1997	Boothby	395	619	ļ
	AH1	5,69	4,546	12/1997	Reisman	395	200.9	
	AI1	5,72	7,129	03/1998	Barrett et al.	395	12	
	AJ1	5,73	2,074	03/1998	Spaur et al.	370	313	
	AK1	5,74	0,364	04/1998	Drerup	395	200.09	
				FOREIG	ON PATENT DOCUMENTS			
XAMINER NITIAL		DOCU NUME	MENT BER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL1	WO 9	8/34203	08/1998	PCT	G07F	19/00	Yes No
	AM1	WO 9	8/34422	08/1998	PCT	H04Q	7/00	Yes No
	AN1	wo o	0/14625	03/2000	PCT	G06F	3/14	Yes No
	A01	0 84	8 339 A1	06/1998	EP	G06F	17/30	Yes No
	l	0 87	6 034 A2	11/1998	EP	H04L	29/06	Yes
	AP1		 	L	Title, Date, Pertinent	<u></u>		ŅС
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	AT	1	September	2, 1999, prov	vided by Microsoft Produc	et Support S	ervices, 1	page .
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FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILINODATE
	DOCUMENT NUMBER AA2 5.754.774 05 AB2 5.768,511 06 AC2 5.790,977 08 AD2 5.794,259 08 AE2 5.799,063 08 AF2 5.802,292 09 AG2 5.805,807 09 AH2 5.809,242 09 AI2 5.813,007 09 AJ2 5.850,517 12 AK2 5.862,339 01 AINER TIAL DOCUMENT NUMBER O 944 009 A2 09 AL2 AM2 AM2 AM2 AM2 AM2 AM2 AM2 AM2		05/1998	Bittinger et al.	395	200.33	
	AB2	5,768,511	06/1998	Galvin et al.	395	200.33	
	AC2		08/1998	Ezekiel	702	122	
	AD2	5,794,259	08/1998	Kikinis	707	507	
	AE2	5,799,063	08/1998	Krane	379	67	
	AF2	5,802,292	09/1998	Mogul	395	200.33	
-	AG2	5,805,807	09/1998	Hanson et al.	395	200.09	
	AH2	5,809,242	09/1998	Shaw et al.	395	200.47	1
	AI2	5,813,007	09/1998	Nielsen	707	10	
	AJ2	5,850,517	12/1998	Verkler et al.	395	200.32	
	AK2	5,862,339	01/1999	Bonnaure et al.	395	200.57	
			FOREIG	N PATENT DOCUMENTS	•	<u>.</u>	<u>-</u>
EXAMINER INITIAL			DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL2	0 944 009 A2	09/1999	EP	G06F	17/30	Yes No
	AM2						Yes No
	AN2						Yes No
	A02						Yes No
	AP2						Yes No
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	AT	<pre>2 http://de</pre>	vices.internet	Wireless Syncing Softwcom/news/q29908/99080	2puma/990802pu	ma.html, l	ast visited
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ATTY. DOCKET NO. 1933.0010009

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APPLICANT Kloba et al.

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EXAMINER INITIAL			CUMENT MBER	DATE	NAME	CLASS	SUB- CLASS	FILINO DATE
EXAMINER	AA3	5,8	362,346	01/1999	Kley et al.	395	200.73	
	AB3	5,8	364,676	01/1999	Beer et al.	395	200.59	
_	AC3	5,8	373,100	02/1999	Adams et al.	707	204	
	AD3	5,8	377,766	03/1999	Bates et al.	345	357	
	AE3	5,8	381,234	03/1999	Schwob	395	200.49	<u> </u>
	AF3	5,8	390,158	03/1999	House et al.	707	10	
	AG3	5,8	395,471	04/1999	King et al.	707	104	
	АН3	5,8	396,502	04/1999	Shieh et al.	395	200.54	
	AI3	5,9	906,657	05/1999	Tognazzini	709	248	
	АЈЗ	5,9	918,013	06/1999	Mighdoll et al.	395	200.47	
-	AK3	5,9	918,237	06/1999	Montalbano	707	513	,
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EXAMINER INITIAL		_ i	CUMENT MBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL3							Yes No
	АМЗ							Yes No
•	AN3							Yes No
	AO3							Yes No
	AP3							Yes
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	AT	3	http://ww	ect Server Wh w.extendsys.c xtended Syste	om/prodinfo/white/serv	versync/, last v	visited Ju	ly 25, 2000,
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	AA4	5,9	22,045	07/1999	Hanson	709	206	
	AB4	5,9	37,163	08/1999	Lee et al.	395	200.48	
	AC4	5,9	43,676	08/1999	Boothby	707	201	
	AD4	5,9	46,697	08/1999	Shen	707	104	
	AE4	5,9	48,066	09/1999	Whalen et al.	709	229	
	AF4	5,9	53,392	09/1999	Rhie et al.	379	88.13	
	AG4	5,9	54,795	09/1999	Tomita et al.	709	218	
	AH4	5,9	61,601	10/1999	Iyengar	709	229	
	AI4	5,9	61,602	10/1999	Thompson et al.	709	229	
	AJ4		78,828	11/1999	Greer et al.	709	2.24	
	AK4	1	78,833	11/1999	Pashley et al.	709	200	
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	AL4							Yes No
	AM4							Yes No
	AN4							Yes No
	A04							Yes No
	AP4							Yes No
			OTHER (Incl	luding Author,	Title, Date, Pertinen	t Pages, etc.)	
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	AA5	DOCUMENT NUMBER 5 5,978,842 11/1999 5 5,987,454 11/1999 5 5,987,476 11/1999 5 5,987,499 11/1999 6 6,006,231 12/1999 6 6,009,462 12/1999 6 6,023,708 02/2000 6 6,023,708 02/2000 6 6,023,708 02/2000 6 6,026,474 02/2000 POCUMENT NUMBER DOCUMENT NUMBER 5 Story, Derrick "Pahttp://www.webrevi		Noble et al.	709	218			
	DOCUMENT NUMBER AA5			Hobbs	707	4			
	AC5	5,987,476	11/1999	Imai et al.	707	201			
	AD5	5,987,499	11/1999	Morris et al.	709	203			
	AE5	5,991,800	11/1999	Burke et al.	709	218			
	AF5	6,006,231	12/1999	Popa	707	101			
	AG5	6,009,462	12/1999	Birrell et al.	709	206			
	AH5	6,021,433	02/2000	Payne et al.	709	219			
	AI5	6,023,698	02/2000	Lavey, Jr. et al.	707	10			
	AJ5	6,023,708	02/2000	Mendez et al.	707	203			
	AK5	6,026,474	02/2000	Carter et al.	711	202			
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	AN5						Ye N		
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	AP5						Ye N		
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EXAMINER INITIAL			CUMENT MBER	DATE	NAME	c	LASS	SUB- CLASS	FILING PATE
	AA6	6,0	029,175	02/2000	Chow et al.	7	07	104	
	AB6	6,0	32,162	02/2000	Burke	7	07	501	
	AC6 6,035,324 03/2000 AD6 6,041,360 03/2000				Chang et al.	7	09	203	ļ
	AD6	6,0	141,360	03/2000	Himmel et al.	7	09	245	
	AE6	6,0	049,821	04/2000	Theriault et al.	7	09	203	<u> </u>
	AF6	6,0	58,416	05/2000	Mukherjee <i>et al</i> .	7	09	203	
	AG6	6,0	061,718	05/2000	Nelson	7	09	206	
	АН6	6,0	065,051	05/2000	Steele et al.	7	09	219	
	AI6	6,0	065,059	05/2000	Shieh et al.	7	09	233	
	AJ6	6,0	70,184	05/2000	Blount et al.	7	09	200	
	AK6	6,0	76,109	06/2000	Kikinis	7	09	228	
				FOREIG	N PATENT DOCUMENTS	•			
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	AL6		<u> </u>						Yes No
•	AM6								Yes
	AN6								Yes
	A06								Yes No
	AP6			.,				<u></u>	Yes No
			OTHER (Incl	uding Author,	Title, Date, Perti	nent Pages,	etc.)		
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	АТ	<u>6</u>	"AvantGo I Copyright	Enterprise™," 2000 AvantGo,	http://avantqo.com/ Inc., 2 pages, las	enterprise/ t visited J	product	s/index. 2000.	html,
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	AA7	6,0	85,192	07/2000	Mendez et al.	707	10	
_	AB7	6,1	19,167	09/2000	Boyle et al.	709	234	
	AC7	6,1	31,096	10/2000	Ng et al.	707	10	
	AD7	6,1	61,146	12/2000	Kley et al.	709	248	,
·	AE7	6,1	95,692 B1	02/2001	Hsu	709	219	
	AF7	6,2	09,027 B1	03/2001	Gibson	709	218	
	AG7	6,2	26,650 B1	05/2001	Mahajan et al.	707	201	
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EXAMINER INITIAL		DOC NUM	UMENT BER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL7							Yes No
	AM7							Yes No
	AN7							Yes No
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	AP7							Yes No
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	AR	7	http://ww		rogramming and Developm rsolutions.com/, Pen Co		ions, Inc.,	4 pages, last
	AS	7	http://ww	w.ceshopper.	for Palm-size PC," <u>com/ceshopper/pocketbro</u> isited June 22, 2000, 1	wser.html, Co	opyright 200	00
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	AL8						Yes No
	AM8						Yes No
	AN8						Yes No
	AO8						Yes No
	AP8						Yes No
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	AR	8 for Wir	reless Web Conr x Access to Lea	le Internet Kit, Linkin nectivity: New Web Clip ading Internet Content, /bw/000627/ny palm 2.ht	oping Application	ons to Prov	vide Out-of-
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FILING DATES November 6, 2001 2154 U.S. PATENT DOCUMENTS EXAMINER SUB-CLASS INITIAL DOCUMENT DATE NAME CLASS NUMBER AA9 AB9 AC9 AD9 AE9 AF9 AG9 AH9 AI9 AJ9 AK9 FOREIGN PATENT DOCUMENTS EXAMINER COUNTRY CLASS SUB-TRANSLATION INITIAL DOCUMENT DATE NUMBER CLASS Yes No AL9 Yes No AM9 Yes AN9 No Yes A09 No Yes AP9 No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Wilcox, Joe, "IBM aims to put computers on the wrist," http://cnet.com/news/0-1006-200-2160685.html, CNET Networks, Inc., June 27, 2000, 3 pages. AR 9 Portable Internet, http://www.portableinternet.com/, Copyright 2000, Portable AS 9 Internet, Inc., last visited on July 5, 2000, 1 page. BravuraNet, http://www.bravurnet.com, Copyright 2000, BravuraNet, last visited AT <u>9</u> July 13, 2000. DATE CONSIDERED EXAMINER

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Press Release, "AvantGo Unleashes AvantGo.com, the First Free Interactive Service for Mobile Device Users: New Personalized Service Puts the Power of the Web in

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1933.0010009

APPLICATION NO. 09/705,927

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APPLICANT

Kloba et al

FILING DATE November 6, 2001 GROUP 2154

U.S. PATENT DOCUMENTS EXAMINER FILING DATE CLASS SUB-INITIAL DOCUMENT DATE NAME CLASS NUMBER AA28 AB28 AC28 AD28 AE28 AF28 AG28 AH28 AI28 AJ28 AK28 FOREIGN PATENT DOCUMENTS EXAMINER SUB-TRANSLATION DOCUMENT DATE COUNTRY CLASS INITIAL NUMBER CLASS Yes AL28 No Yes AM28 No Yes AN28 No Yes A028 No Yes AP28 No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Press Release, "AvantGo Integrates Seamlessly with New Minstrel III by Novatel Wireless: Interactive Wireless Data Capabilities Allow Real-time Access to AR 28 Critical Information on Palm Computing Platform Devices," 2 pages, April 6, 1999, AvantGo, Inc. Press Release, "AvantGo and Microsoft Silicon Valley Developer Center to Offer Free Seminars to Enterprises Interested in Extending Applications to Windows CE-AS 28 Based Devices: Attendees Can Register Today for Technical Sessions on April 26-27 and May 24-25," 2 pages, March 16, 1999, AvantGo, Inc. Press Release, "AvantGo and the Windward Group Offer Free Seminars to Enterprises Interested in Extending Applications to Mobile Devices: Attendees Can Register ΑТ 28 Today for Bay Area Sessions on April 28 & 29," 2 pages, March 15, 1999, AvantGo, Inc. DATE CONSIDERED EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

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APPLICATION NO. 09/705,927

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APPLICATION NO 09/705,927

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ATTY. DOCKET NO.
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November 6, 2001 U.S. PATENT DOCUMENTS EXAMINER FILING DATE CLASS SUB-INITIAL DOCUMENT DATE NAME CLASS NUMBER AA36 AB36 AC36 AD36 AE36 AF36 AG36 AH36 AI36 AJ36 AK36 FOREIGN PATENT DOCUMENTS EXAMINER TRANSLATION INITIAL DOCUMENT DATE COUNTRY CLASS SUB-NUMBER CLASS Yes AL36 No Yes AM36 No Yes AN36 No Yes A036 No Yes **AP36** No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Press Release, "AvantGo Secures \$3.5 Million in Venture Capital: Hambrecht & Quist, Adobe Ventures and 21st Century Internet Venture Partners Invest; Chris AR <u>36</u> Hollenbeck Joins AvantGo Board of Directors," 2 pages, June 8, 1998, AvantGo, Press Release, "AvantGo Signs Stuart Read As Vice President of Marketing: Past Founder of Diba Joins Fast Growing Mobile Computing Company," May 26, 1998, AS 36 AvantGo, Inc. Press Release, "AvantGo Teams with Leading Publishers to Serve Up News for Mobile ΑТ <u>36</u> Users: Wired Digital, The New York Times, Mercury Center, InfoWorld and CNET Deliver Content for HandHelds," 3 pages, May 18, 1998, AvantGo, Inc. EXAMINER DATE CONSIDERED

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